

**REQUEST FOR CHANGE REPORT
OCTOBER 2007**

Solicitation Number	Prime Contractor	Project Description	Add/Delete/Scope Change/Substitution-A(Add) or D(Delete)	Subcontractor	Certified Y/N	Increase or Decrease to Current Contract	Like-Kind Substitution	Like-Kind Availability	Subcontract Amount	Scope of Work	Managing Department	Project Manager	GFE Required? Y/N	Approved Y/N	Notes
1	PW06200054	MUNIZ CONCRETE & CONTRACTING INC ADA SIDEWALK AND RAMP IMPROVEMENTS 2007 CITY WIDE-GROUP 5	Add	PRISM DEVELOPMENT	Y	NA	N/A	N/A	\$ 10,000.00	Temporary Safety Fence	PW	DIANE RICE	N	Y	Adding certified subcontractor.
2	PW05200044	SPAWGLASS CONTRACTORS ABIA ELEVATOR & ESCALATOR IMPROVEMENTS	Scope Change	BLUEMENTHAL SHEET METAL	N	DECREASE	N/A	N/A	\$ 192,047.00	Misc. Metals and Panels	PW	DENNIS CRABBILL	N	Y	Reduction in subcontract amount due to a deduct in scope of work
3	PW05200044	SPAWGLASS CONTRACTORS ABIA ELEVATOR & ESCALATOR IMPROVEMENTS	Scope Change	HAGLER & KERR LLC	N	INCREASE	N/A	N/A	\$ 54,133.00	Wall Painting	PW	DENNIS CRABBILL	N	Y	Increase in subcontract amount due to added scopes of work
4	PW05200044	SPAWGLASS CONTRACTORS ABIA ELEVATOR & ESCALATOR IMPROVEMENTS	Scope Change	SIMPLEX GRINNELL	N	INCREASE	N/A	N/A	\$ 24,737.00	Fire Alarm Installation	PW	DENNIS CRABBILL	N	Y	Increase in subcontract amount due to added scopes of work
5	PW05200044	SPAWGLASS CONTRACTORS ABIA ELEVATOR & ESCALATOR IMPROVEMENTS	Scope Change	KST ELECTRIC	N	INCREASE	N/A	N/A	\$ 141,247.00	Electrical	PW	DENNIS CRABBILL	N	Y	Increase in subcontract amount due to added scopes of work
6	PWC0086	BRH-GARVER CONSTRUCTION LP ACWP-MANOR/COMAL/ROSEWOOD AVE WW	Scope Change	GV ENTERPRISES	Y	DECREASE	N/A	N/A	\$ 226,495.00	Hauling, Excavation	PW	BRENDA BAKER	N	Y	Subcontractor workload issue. Will still be used for hauling services.
7	PWC0086	BRH-GARVER CONSTRUCTION LP ACWP-MANOR/COMAL/ROSEWOOD AVE WW	Add	JA ROAD BORING	Y	N/A	N/A	N/A	\$ 226,495.00	Boring, Excavation, Hauling	PW	BRENDA BAKER	Y	Y	Adding like-kind MBE subcontractor to provide boring services.
8	PWC0116	AUSTIN FILTER SYSTEM FT BRANCH CREEK CHANNEL RESTORATION WESTMINISTER TO MANOR	Add	JV ENVIRONMENTAL	N	N/A	N/A	N/A	\$ 2,725.00	ORGANIC FILTER TUBE	PW	CHRISTINA CALVERY	N	Y	Specialized scope of work. No M/WBE Availability. 2ND TIER SUB TO ROADWAY SPECIALTIES
9	PW04300034	SURVEYING & MAPPING SUBSURFACE UTILITY ENGINEERING	Delete	GEOTRACK INC	N	N/A	N/A	N/A	\$ 8,500.00	Vacuum excavation to expose underground utilities	PW	ART BARROW	Y	Y	Firm unavailable to perform work.
10	PWC0116	AUSTIN FILTER SYSTEM FT BRANCH CREEK CHANNEL RESTORATION WESTMINISTER TO MANOR	Scope Change	ROADWAY SPECIALTIES	Y	DECREASE	N/A	N/A	\$ 179,480.00	ORGANIC FILTER TUBE	PW	CHRISTINA CALVERY	Y	Y	1st Tier Subcontractor
11	PWC0086	BRH-GARVER CONSTRUCTION LP ACWP-MANOR/COMAL/ROSEWOOD AVE WW	Add	JA ROAD BORING	Y	N/A	N/A	N/A	\$ 226,495.00	Boring, Excavation, Hauling	PW	BRENDA BAKER	Y	Y	Adding MBE subcontractor to provide boring services (low tier to GV Enterprises)
12	PW06200001	CONSTRUCTORS & ASSOCIATES TOWN LAKE PARK	Add	COMPUSIGNS	Y	N/A	N/A	N/A	\$ 236.00	Furnish only sign letters at restrooms.	PW	LORA TEED	Y	Y	Prime used certified minority vendor request was approved

**REQUEST FOR CHANGE REPORT
OCTOBER 2007**

Solicitation Number	Prime Contractor	Project Description	Add/Delete/ Substitution- A(Add) or D(Delete)	Subcontractor	Certified Y/N	Increase or Decrease to Current Contract	Like-Kind Substitution	Like-Kind Availability	Subcontract Amount	Scope of Work	Managing Department	Project Manager	GFE Required? Y/N	Approved Y/N	Notes	
13	PW06200001	CONSTRUCTORS & ASSOCIATES	TOWN LAKE PARK	Add	MOST DEPENDABLE FOUNDATIONS	N	N/A	N/A	N/A	\$ 9,910.00	Furnish only drinking/pet fountains	PW	LORA TEED	Y	N	This is a sole source company required by COA on contract documents and there is no other local subcontractor that sells these types of drinking fountains. Request denied because subcontractor was used and paid on project prior to DSMBR authorization.
14	PW06200001	CONSTRUCTORS & ASSOCIATES	TOWN LAKE PARK	Add	ALPHA INSULATION & WATERPROOFING INC	N	N/A	N/A	N/A	\$ 11,977.00	Furnish and install concrete joint sealants	PW	LORA TEED	Y	N	Request denied due to subcontractor was used and paid on project prior to DSMBR authorization.
15	PW06300003	LOCKWOOD ANDREWS & NEWMAN INC	GEN. CIVIL ENGINEERING SVCS ROTATION LIST 2006-2008	Add	AXIOM ENGINEERS	Y	N/A	N/A	N/A	\$ 18,170.00	Engineering design	PW	GARY JACKSON	Y	Y	Adding certified firm.
16	PW06300003	LOCKWOOD ANDREWS & NEWMAN INC	GEN. CIVIL ENGINEERING SVCS ROTATION LIST 2006-2008	Add	LYMAN HENN, INC	N	N/A	No	NA	\$ 42,123.00	Tunneling Consultant	Public Works	Steve Nelson	N	Yes	No MBE/WBE availability
17	PW06400001	BARECKY CONSTRUCTION CO.	GUS GARCIA RECREATION CENTER	Add	CRIPPIN SHEET METAL INC	N	N/A	N/A	N/A	\$ 7,490.00	SS Countertops	PW	ROSSY FARINA- STRAUSS	N	Y	STEINLESS STEAL CONTERTOPS HAS NO WBE AVAIL.
18	PW0330008	LOCKWOOD ANDREWS & NEWMAN INC	DAVENPOT LIFT STATION STAA #9A	Scope Change	KURKJIAN ENG.	Y	DECREASE	N/A	N/A	2.20%	Civil Engineering Svs	PW	PHIL SALYER	N	Y	Subconsultant submitted letter confirming reduction in scope of work.
19	PWC0067	LAUGHLIN - THYSSEN LTD	ACWP-EAST LAMAR AREA WW	Add	AUSTEX TREE SERVICE	Y	N/A	N/A	N/A	\$ 100.00	Tree Trimming Services	PW	LARRY MENDEZ	N	Y	Increasing the MBE goal by .001%
20	PWC0052A	MCLEAN CONSTRUCTION	ACWP-GOVALLE 5 WEST 29TH-BOWMAN AVE	Add	IVY'S TRUCKING	Y	N/A	N/A	N/A	\$ 30,000.00	96239-Hauling Services	PW	DON WILLHOUSE, P.E.	N	Y	Increasing the WBE goal by .63%
21a	MAS060314	BIOGARDENER	ANNUAL SUPPLY AGREEMENT FOR LANDSCAPING SVCS. AT AE SITES	Substitution-D	L & R LANDSCAPING SERVICES	Y	N/A			\$ 8,423.96	Irrigation Systems, Maintenance & Repair	AE	GREG WARREN	N	Y	Subcontractor withdrew from the contract; however, they did provide services on this contract and were paid \$5,877.22 above initial amount reflected on the compliance plan of \$4,672.00.
21b	MAS060314	BIOGARDENER	ANNUAL SUPPLY AGREEMENT FOR LANDSCAPING SVCS. AT AE SITES	Substitution-A	CAPITOL LAWN SOLUTIONS-SANDY MARTINEZ	Y	N/A	Y	Y	\$ 8,423.96	Irrigation Systems, Maintenance & Repair	AE	GREG WARREN	N	Y	Like-kind substitution.
22	PW02300004	DANNENBAUM ENGINEERING CORPORATION	LAKEWOOD DRIVE LOW WATER CROSSING IMP	Add	BIERLEY ASSOCIATES,LLC	N	N/A	N/A	N/A	\$ 38,052.00	Tunneling Engineering	PW	ROXANNE COOK	Y	Y	MBE/WBE goals achieved.
23	PWC0058A	KEYSTONE CONSTRUCTION	AWU SAMSUNG WASTEWATER METER RELOCATION	Add	UNITED CABLE NETWORK	N	N/A	N/A	N/A	\$ 18,000.00	Boring, Drilling, Testing & Sounding	AWU	KATHI FLOWERS	Y	Y	GFE documentation was provided to add non-certified subcontractor.
24	PWC0046	AUSTIN UNDERGROUND INC	ACWP EAST 11TH STREET ALLEY	Add	FIX SERVICES LTD	N	N/A	N/A	N/A	\$ 780.00	Plumbing	PW	BRENDA BAKER	N	Y	MBE/WBE goals achieved.

**REQUEST FOR CHANGE REPORT
OCTOBER 2007**

Solicitation Number	Prime Contractor	Project Description	Add/Delete/Scope Change/Substitution-A(Add) or D(Delete)	Subcontractor	Certified Y/N	Increase or Decrease to Current Contract	Like-Kind Substitution	Like-Kind Availability	Subcontract Amount	Scope of Work	Managing Department	Project Manager	GFE Required? Y/N	Approved Y/N	Notes	
25	PW06300003	HALFF ASSOCIATES	GEN. CIVIL ENGINEERING SVCS ROTATION LIST 2006-2008	Add	JOSE GUERRA	Y	N/A	N/A	N/A	\$ 6,195.00	Structural Engineering	PW	RICK COLBRUNN	N	Y	Adding certified firm.
26	PW06300003	HALFF ASSOCIATES	GEN. CIVIL ENGINEERING SVCS ROTATION LIST 2006-2008	Add	ACCESSOLOGY INC	N	N/A	N/A	N/A	\$ 505.00	ADA Compliance	PW	RICK COLBRUNN	N	Y	Previous experience with project.
27	PWC0074A	SOUTHWEST CORPORATION	HORNSBY BEND BIOSOLIDS MGMT. FACILITY COMPOST PAD-REBID	Add	HOLT ENGINEERING	Y	N/A	N/A	N/A	\$ 950.00	Geotechnical materials testing	AWU	JOE B SMTH	Y	Y	Prime used a certified minority vendor who they have worked with on previous City of Austin projects.
28	PW06300003	MWM DESIGN GROUP INC	GEN. CIVIL ENGINEERING SVCS ROTATION LIST 2006-2008	Add	CADD STUDIOS	Y	N/A	N/A	N/A	Under negotiation. Based on project assignment.	Computer Aided Design	PW	STEVE NELSON	N	Y	Adding certified firm.
29a	PWC00032	BRH-GARVER CONSTRUCTION LP	AWU-WALNUT CRK SVC TO ANNEXED AREA PROJ.-B AREA3&4	Scope Change	TEXAS ROAD & UTILITIES	Y	DECREASE	N/A	N/A	\$ 237,340.00	Paving/Resurfacing	PW	TINA VAN WIE	Y	Y	Texas Utility is still being used on the project.
29b	PWC00032	BRH-GARVER CONSTRUCTION LP	AWU-WALNUT CRK SVC TO ANNEXED AREA PROJ.-B	Add	INDUSTRIAL ASPHALT	Y	N/A	Y	Y	\$ 237,340.00	Paving/Resurfacing	PW	TINA VAN WIE	Y	Y	Prime added a "like-kind" subcontractor to complete the paving/resurfacing scope of work.
30	PW043000034	SURVEYING & MAPPING	SUBSURFACE UTILITY ENGINEERING	Add	ALL POINTS INSPECTION SVS	Y	N/A	N/A	N/A	\$ 71,000.00	Vacuum excavation to expose underground utilities	PW	ART BARROW	N	Y	Adding certified firm.
31	PWC0032	BRH-GARVER CONSTRUCTION LP	AWU-WALNUT CRK SVC TO ANNEXED AREA PROJ.-B	Add	VIKING CONSTRUCTION	N	N/A	N/A	N/A	\$ 44,650.00	Seal Coating	PW	TINA VAN WIE	Y	Y	Tx Rd & Util gave this scope of work to subc because the amount of the seal coat work has significantly increased and Viking construction, Inc. is the only company that we are aware of that installs the seal coating per specification 312S.
32a	PW0600003	FREESE & NICHOLS, INC.	GEN. CIVIL ENGINEERING SVCS RL 2006-2008	Substitution - D	CANALES MARTINEZ & NASH SURVEYING	Y	N/A			NA	Surveying	PW	Steve Nelson	N	Y	Out of Business
32b	PW06300003	FREESE & NICHOLS, INC.	GEN. CIVIL ENGINEERING SVCS ROTATION LIST 2006-2008	Substitution - A	MACIAS & ASSOCIATES	Y	N/A	Y	Y	Under negotiation. Based on project assignment.	Surveying	PW	Steve Nelson	N	Y	Added to project original firm out of business. (Like-Kind substitution)
33	PW06200017A	AUSTIN FILTER SYSTEM	REBID-UPPER WALNUT CREEK REGIONAL DETENTION	Scope Change	BMW TRUCKING	Y	DECREASE	N/A	N/A	\$ (49,000.00)	On site hauling using bobtail trucks	PW	CHRISTINA CALVERY	Y	Y	For the safety of the project and to complete the project on schedule 12/25/07 the use of the Off-Road Dump Trucks is a much safer and more customary solution.

**REQUEST FOR CHANGE REPORT
OCTOBER 2007**

Solicitation Number	Prime Contractor	Project Description	Add/Delete/Scope Change/Substitution-A(Add) or D(Delete)	Subcontractor	Certified Y/N	Increase or Decrease to Current Contract	Like-Kind Substitution	Like-Kind Availability	Subcontract Amount	Scope of Work	Managing Department	Project Manager	GFE Required? Y/N	Approved Y/N	Notes
34	PW06200017A	AUSTIN FILTER SYSTEM	REBID-UPPER WALNUT CREEK REGIONAL DETENTION	Add	HERTZ EQUIPMENT	N	N/A	N/A	\$ 60,000.00	On site hauling with off-road dump trucks	PW	CHRISTINA CALVERY	Y	Y	For the safety of the project and to complete the project on schedule 12/25/07 the use of the Off-Road Dump Trucks is a much safer and more customary solution. The additional cost of renting and operating these off-road trucks will be adsorbed by the Prime Contractor
35	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Add	LIBRARY INTERIORS OF TEXAS LLC	N	N/A	N/A	\$ 9,507.00	Book Drops Supplier	PW	CYNTHIA JORDAN	Y	Y	GFE documentation was provided to add non-certified subcontractor.
36	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Add	ABC PEST & LAWN SERVICES	N	N/A	N/A	\$ 9,776.00	Bird Control	PW	CYNTHIA JORDAN	Y	Y	GFE documentation was provided to add non-certified subcontractor.
37	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Add	HORIZON HARDWARE	N	N/A	N/A	\$ 3,046.00	Wall & Corner Guards	PW	CYNTHIA JORDAN	Y	Y	GFE documentation was provided to add non-certified subcontractor.
38	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Add	KLINGER SPECIALITIES	N	N/A	N/A	\$ 3,007.00	Toilet Partitions	PW	CYNTHIA JORDAN	Y	Y	GFE documentation was provided to add non-certified subcontractor.
39	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Add	GEORGETOWN WOODWORKS	N	N/A	N/A	\$ 106,643.00	Casework, Woodwork Countertops (supply & install)	PW	CYNTHIA JORDAN	Y	Y	GFE documentation was provided to add non-certified subcontractor.
40a	PW06200029	MUNIZ CONCRETE & CONTRACTING INC	LANCE ARMSTRONG BIKEWAY PROJECT	Substitution-D	BOOTHE BROTHERS PAVING CO;INC.	Y	N/A		\$ 39,329.28	Retainer Walls	PW	DARRYL HABA	Y	Y	WBE firm withdrew from the project.
40b	PW06200029	MUNIZ CONCRETE & CONTRACTING INC	LANCE ARMSTRONG BIKEWAY PROJECT	Substitution-A	LEGACY PAVING	N	N/A	N	\$ 30,257.08	Retainer Walls	PW	DARRYL HABA	Y	Y	GFE provided. No bids received from certified firms.

Request for Change Summary for October 2007

	Additions	Deletions	*Substitutions	Scope Changes	Total
	27	1	3	9	40

Certified	11	0	2 Like-Kind Substitutions	5 (Decreases)
Non-Certified	16	1	1 Non-Added/1-Certified Deleted	4 (3-Increases/1-Decrease)

A
B
C
D
E
F
G

Sub-contractors or sub-consultants may be added to a contract for the following reasons

- A There are no MBE or WBE firms certified for the scope of work at the time of the request
- B To increase MBE or WBE participation
- C Change in contract amount
- D Solicitation goals are being met
- E Pricing from Certified firms was not competitive or did not respond to solicitation to submit pricing
- F Specification requirements or prior work with the project
- G Like kind

Reasons for denying a Request for Change of Compliance Plan

Good Faith Efforts documentation was not included with request or failed to complete good faith efforts requirements
Sub-contractor or sub-consultant was added to contract without DSMBRs prior written authorization

Substitutions of sub-contractors or sub-consultants shall be permitted only on the following bases

- 1 unavailability after receipt of reasonable notice to proceed
- 2 failure of performance
- 3 financial incapacity
- 4 refusal by the subcontractor or sub-consultant to honor bid or proposal price
- 5 mistake of fact or law about the elements of the scope of work of a solicitation where a reasonable price cannot be agreed
- 6 failure of the sub-contractor or sub-consultant to meet insurance, licensing or bonding requirements
- 7 the subcontractor's or sub-consultant's withdrawal of its bid or proposal